

#### 321 NORTH CLARK STREET **SUITE 3400** CHICAGO, IL 60610

PHONE: 312.595.1239 • FAX: 312.595,2250

#### FACSIMILE COVER SHEET

DATE:	July 16, 2004			
TO:	NAME:	Mamie P. Person		<u> </u>
	COMPANY:	U.S. Patent & Trademark	Office	
	FAX NUMBER	(703) 746-6699	PHONE NUMBER:	(703) 305-3737
	CITY:	Alexandria, VA		
FROM:	NAME:	David W. Clough, Ph.D.		
	DIRECT DIAL NUMBER:	(312) 595-1408	U\$ER ID:	5284
NUMBER OF PAG	ES, <u>INCLUDING</u> COVER:	11	CHARGE NUMBER:	05569.0006.PCUS00
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SUPPLEMENTAL I	MESSAGE:			
U.S. Applica	ation No. 10/018,4	52		
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PAGE 1/11 \* RCVD AT 7/16/2004 11:27:27 AM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-2/0 \* DNIS:7466699 \* CSID:3125951766 \* DURATION (mm-ss):03-30

Current Atty. Docket No. 05569.0006.PCUS00 Prior Atty. Docket No.: 28111/37903

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Julia Elizabeth Thompson CERTIFICATE VIA FACSIMILE I hereby certify that this paper is being faxed to the Serial No.: 10/018,452 attention of Mamie P. Person of the U.S. Patent & Tredemark Office at (703) 746-6699. Filed: October 30, 2001 Title: Specific Antibodies and Antibody Fragments for July 16, 2004 TGF81 David W. Clough, Ph.D. Registration No. 36,10 Group Art Unit: Not Yet Assigned Examiner: Not Yet Assigned

### Communication Regarding Notice of Abandonment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

This Communication is responsive to the Notice of Abandonment (the "Notice") mailed by the U.S. Patent & Trademark Office on July 1, 2004. The Notice indicates that the Applicant has failed to respond to the notification of Missing Requirements mailed on October 16, 2002 and therefore, the application failed to meet requirements of 35 U.S.C. 371 and CFR 1.495 and is now abandoned.

Attorney for Applicant submits herewith a copy of the Second Submission of items concerning a filing under 35 U.S.C. 371 as transmitted to the U.S Patent & Trademark Office on October 25, 2002 by Express Mail (No. EU666989887 US). We also note that the Notification of Missing Requirements was issued on October 16, 2002, and the Response was submitted on October 25, 2002, well within the time period set. We enclose herewith the following:

- Transmittal letter to the U.S. Designated Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, Second Submission transmitted by Express Mail No. EU666989887 US on October 25, 2002;
- 2) Stamped-returned postcard;

Application No. 10/018,452 Filed 10/30/2001 Page 2 Atty. Docket No.:05569.0006.PCUS00

- 3) Notification of Missing Requirements Under 35 U.S.C. 371 in the US Designated/Elected Office (DO/EO/US); and
- Copy of the executed Declaration.

In view of the papers previously submitted well within the time period set therein for responding to the Notification of Missing Requirements, attorney for applicant requests that the abandoned status be removed and the application be returned to the Group for review.

Respectfully submitted, ·

HOWREY SIMON ARNOLD & WHITE, LLP

By:

David W. Clough, Ph.D.

Registration No. 36,107

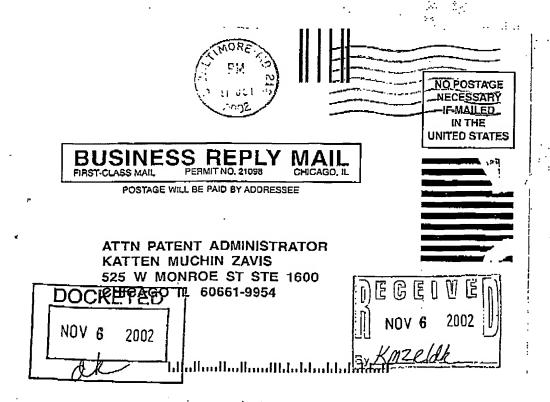
Attorney for Applicant

July 16, 2004 Howrey Simon Arnold & White, LLP 321 N. Clark Street, Suite 3400 Chicago, IL 60610 Telephone: (312) 595-1408

Fax: (312) 595-2250

Jul-16-2004 10:26am

	Applicant(s): Thompson et al. Ser/Pat No.: 10/184, 452 Filing/Issue Date: 10-30-0/
	TV TO TO TO THE ATTOMOST ATTOM
	Title: Specific Binding and Antibody Fragments for TGF81  Date Sent: 10-25-02 111-Class Mail Mus PO Supports To TGF81
	Date Sent: 10-25-02 Date Class Manual Property for 16681
	No 66698998745 ) Hand Carried
	The U.S. Patent and Trademark Office date stamped because the second sec
	were received by the U.S. Patent and Trademark Office on the date stamped;
	Utility / Design Patent Application Transmittal (pp) Request for Defense of Examination (
	U Provisional Application for Patent Cover Sheet / PRIVIDED TO CARTINIATION ( PD)
•	Incomplete Application ( 2 pp)
	Demaile Publication Request
• •	Combined Oath / Declaration & Power of Attorney ( ) Affidavit(s)/Declaration(s) ( pp)
	Assistance (Corrected Drawing)
	Letter to the Official Draftenerson /
	Fee Transmittal, in duplicate ( pp. total)
	The factor of Appeal 1 and the factor of Appeal 2 and the factor of Appeal
	priority applications D. D. J. H. Inflicate (pp, total)
	, por ransmittal Form (11 pp)
	Response to Official Action / Amendmen ( ) pp (Gircle one A / B / C / Preliminary / After Final / 3.3.3)
	(drice one A / B / C / Preliminary / After Final / 3+2)
	The internation disclosure statement ( pplic 2 5 2002 call Terminal Disclaimer ( pp)
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	NUMERCE PATENT AND TRADEMARK OFFICE			
TRANSMITTAL LETTER TO	2159-00027			
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/184,452		
INTERNATIONAL APPLICATION NO.: PCT/GB00/01679	INTERNATIONAL FILING DATE May 2, 2000	PRIORITY DATE CLAIMED April 30, 1999		
TITLE OF INVENTION SPECIFIC ANTIBODIES AND ANTIBODY F	PRAGMENTS FOR TGF\$1			
APPLICANT(S) FOR DO/EO/US THOMPSON, Julia et al.				
2. ☑ This is a SECOND or SUBSEQUEN  3. ☐ This express request to begin national examination until the expiration of the  4. ☐ A proper Demand for International Prel  5. ☐ A copy of the International Application a. ☐ is transmitted herewith (req  b. ☐ has been transmitted by the  c. ☐ is not required, as the application of the International Application of the I	concerning a filing under 35 U.S.C. 371. I submission of items concerning a filing examination procedures (35 U.S.C. 371. examination procedures (35 U.S.C. 371. examination procedures (35 U.S.C. 371. examination was made by the 19th on as filed (35 U.S.C. 371(c)(2)). uired only if not transmitted by the International Bureau. cation was filed in the United States Reclication into English (35 U.S.C. 371(c)(2) mational Application under PCT Article quired only if not transmitted by the International Bureau. For the time limit for making such amendal not be made.	g under 35 U.S.C. 371.  I(f) at any time rather than delay 71(b) and PCT Articles 22 and 39(l).  month from the earliest claimed priority date.  mational Bureau).  eiving Office (RO/US).  I)).  19 (35 U.S. 371(c)(3)).  mational Bureau).  diments has NOT expired.		
Items II. to 16. below concern documents(s) or information included:  11. □ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.  12. □ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  13. □ A FIRST preliminary amendment.  14. □ A substitute specification.  15. □ A change of power of attorney and/or address letter.  16. □ Other items or information:    CERTIFICATE OF MAILING BY "EXPRESS MAIL"				

U.S. APPLICATION NO. (if known. see 37 CFR 1 5) INTERNATIONAL APPLICATION NO. 213859-000			DOCKET NUMBER 27			
17. 🛭 The following fees are submitted:			CALCULATIONS	PTO USE ONLY		
BASIC NATIONAL FEE (37 CFR 1.492 (A)(1)-(5)):  Search Report has been prepared by the EPO or JPO International preliminary examination fee paid to USPTO (37 CFR 1.482)  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.482) nor international preliminary examination fee (37 CFR 1.482) nor international search fee paid to (37 CFR 1.445(a)(2)) paid to USPTO \$ 1040.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 140.00						
	ENTER APPR	OPRIATE BASIC FEE AM	OUNT	=	\$	
Surcharge of \$130.00 months from the earlie	for furnishing the oath est claimed priority dat	or declaration later than 0; e (37 CFR 1.492(e)).	20 🖾 30		\$ 130.00	
CLAIMS	NUMBER FIELD	NUMBER EXTRA	R.A	TE		
Total claims	1-20 =	-	X\$		\$	
Independent claims	1-3=	-	X\$		\$	
MULTIPLE DEPEND	ENT CLAIM(S) (if ap	oplicable)	+\$. 280.	00	S	
	TOTAL	OF ABOVE CALCULATI	ONS	=	\$	
Reduction of ½ for fill	ng by small entity.	1			\$ 130.00	
SUBTOTAL =				\$		
Processing fee of \$130.00 for furnishing the English translation later than    20    30    months from the earliest claimed priority date (37 CFR 1.492(f)). +				S		
TOTAL NATIONAL FEE =				\$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28,3.31). \$40.00 per property +					\$	
TOTAL FEES ENCLOSED =				\$ 130.00		
					Amount to be: refunded	\$
					charged	S
a. A check in the amount of \$ 130.00						

OCT 29:



#### UNITED STATES PATENT AND TRADEMARK OFFICE

MARSHALL G: .:

Commissioner for Patents, Eas PCT United States Patent and Tracement Office Washington, D.C. 2023

U.S. APPLICATION NUMBER NO. FRST NAMED APPLICANT ATTY. DOCKET NO.

10/018,452 Julia Elizabeth Thompson 28111/37903

Nabeela R McMillian Marshall Gerstein & Borun 6300 Sears Tower 233 South Wacker Drive Chicago, IL 60606-6402 OCT 2.4 2002

BY: KMZROSENMAN

INTERNATIONAL APPLICATION NO.

PCT/GB00/01679

LA. FILING DATE PRIORITY DATE

05/02/2000 04/30/1999

Date Mailed: 10/16/2002

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Assignee Statement
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- . Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination
- Substitute Specification

Docketed: 12-16-02

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the
  priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR

Page 2 of 2

THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### MAMIE P PERSON

Telephone: (703) 305-3737

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.		international application no.	ATTY. DOCKET NO.
	10/018,452	PCT/GB00/01679	28111/37903

FORM PCT/DO/EO/905 (371 Formalities Notice)

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